

INVESTIGATORS' STUDY FILE INDEX

SECTION	CONTENTS
	<ul style="list-style-type: none"> ○ Protocol Summary ○ Protocol for team perusal ○ CD containing training presentations, CRFs, patient leaflet/consent form, Adverse event form, Screening log, Randomisation log, Drug accountability sheet, Delegation log
CONTACTS	<ul style="list-style-type: none"> ○ Contact information: at site, coordinating centre, randomisation etc.
PROTOCOL	<ul style="list-style-type: none"> ○ Trial Protocol version submitted to local ethics committee – this is for record of submission so should not be removed from study file. A separate copy of the protocol is provided for team use. ○ Protocol amendments
TRIAL DRUGS	<ul style="list-style-type: none"> ○ Drug accountability log – to be completed every time treatment packs are received, used, lost/damaged or destroyed due to expiry or end of trial ○ Drug administration guidance – detailed information about the storage, allocation, administration and expiry of the treatment drugs ○ Drug receipts / shipping documents – file here all relevant documents ○ Investigator Brochure / SmPC – for information
TRAINING	<ul style="list-style-type: none"> ○ Training presentations ○ ICH GCP Guidelines Summary; guidance on where to find complete guidelines
PATIENT INFORMATION SHEETS / CONSENT FORMS	<ul style="list-style-type: none"> ○ Consent procedure – country specific approved procedure ○ Spare patient / representative information sheets ○ Spare patient / representative consent forms ○ Original signed consent forms to be filed here
DATA COLLECTION	<ul style="list-style-type: none"> ○ Trial instructions ○ Randomisation flowchart ○ Patient screening log – to be completed each time a patient is considered for the trial but not randomised ○ Patient randomisation log – to be completed for each randomised patient and returned to the coordinating centre when requested to do so ○ CRF guidance – detailed instructions about completing the data collection forms ○ Spare forms ○ Completed CRFs – original forms to be filed here ○ Data queries / corrections – all relevant correspondence
ADVERSE EVENTS	<ul style="list-style-type: none"> ○ Adverse Events reporting guidance ○ AE/SAE forms ○ Completed forms – originals to be filed here ○ Notification of SAE/SUSAR by co-ordinating centre ○ Reports from PI to Local Ethics Committee
CORRESPONDENCE	<ul style="list-style-type: none"> ○ Correspondence, emails

ETHICS	<ul style="list-style-type: none"> ○ Copy of application submitted ○ Correspondence with ethics committee ○ Ethics committee approval ○ Approvals of Protocol amendments ○ Annual reports to ethics committee
REGULATORY	<ul style="list-style-type: none"> ○ Copy of application submitted ○ Correspondence with national regulatory authority ○ National regulatory approval ○ Approvals of protocol amendments ○ Reports to regulatory authority
OTHER APPROVALS & INDEMNITY	<ul style="list-style-type: none"> ○ E.g. hospital director's approval letter; UK R&D approval ○ Sponsor's indemnity letter
SITE RESPONSIBILITIES	<ul style="list-style-type: none"> ○ Hospital information sheet ○ Delegation of responsibilities / signature log – to be kept up to date with the changes in the trial team ○ CV of principal investigator ○ CVs of trial team members who have been delegated responsibilities ○ GCP & other training certificates
AGREEMENTS	<ul style="list-style-type: none"> ○ Principal investigator & financial agreement – a signed copy to be filed here ○ Agreement of Trial Responsibilities (if applicable) – this may be relevant in EU countries or with National Coordinators who are delegated some sponsorship responsibilities
TRIAL MONITORING	<ul style="list-style-type: none"> ○ Site visit log – to be signed each time a representative of the coordinating centre visits for any reason ○ Site monitoring report – to be completed at monitoring visits ○ Completed site visit reports to be filed here ○ Guidance on data discrepancy; protocol violations; deviations ○ Guidance on monitoring procedures ○ Audit reports / certificates ○ Close out monitoring report
REPORTS AND PUBLICATIONS	<ul style="list-style-type: none"> ○ Final & other trial reports ○ Publication(s) ○ Log of patients who have requested the study results
FAQ	<ul style="list-style-type: none"> ○ Frequently asked questions ○ Participating sites – this is a requirement but can also be provided via the trial website